

# Chern semi-metal and QAHE in $\text{HgCr}_2\text{Se}_4$

Gang Xu, Hong-ming Weng,  
Xi Dai, and Zhong Fang

Institute of Physics  
Chinese Academy of Science, Beijing

# Outline

## 1. Introduction:

**Chern insulators, Chern semi-metal**

## 2. Spinel family:

**FM:  $\text{CuCr}_2\text{Se}_4$ ,  $\text{CdCr}_2\text{Se}_4$ ,  $\text{CdCr}_2\text{S}_4$ ,  $\text{HgCr}_2\text{Se}_4$ ,**

**AF:  $\text{ZnCr}_2\text{O}_4$ ,  $\text{CdCr}_2\text{O}_4$ ,  $\text{HgCr}_2\text{O}_4$ .**

## 3. Magnetic Monopoles, Fermi Arcs, and Quantized AHE

# 1. Introduction: Family of TIs?

**2D**

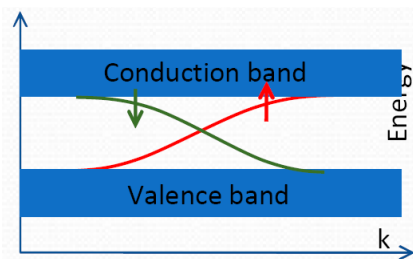
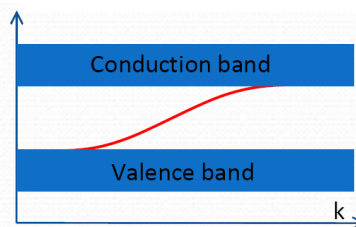
**T-broken**

**T-invariant**

**QHE**

**QSHE**

**QAHE**



Edge States

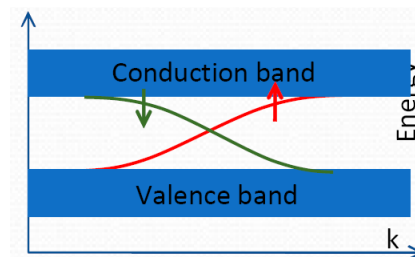
**3D**

**T-invariant  
band**

**Topological Kondo Insulator**

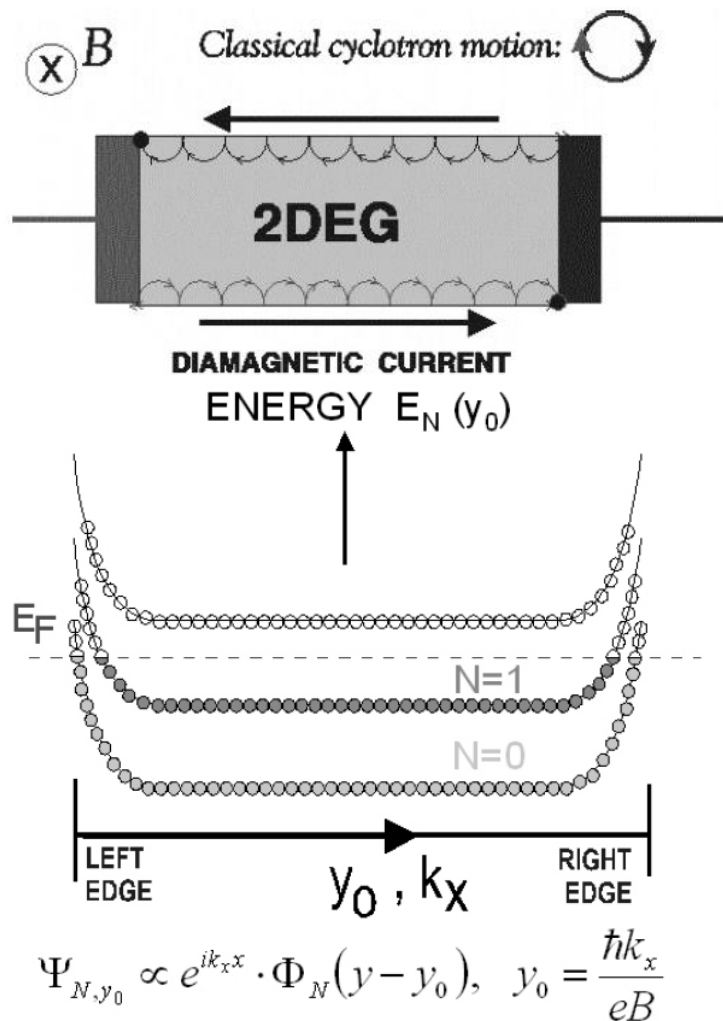
**Anderson**

**Mott .....**



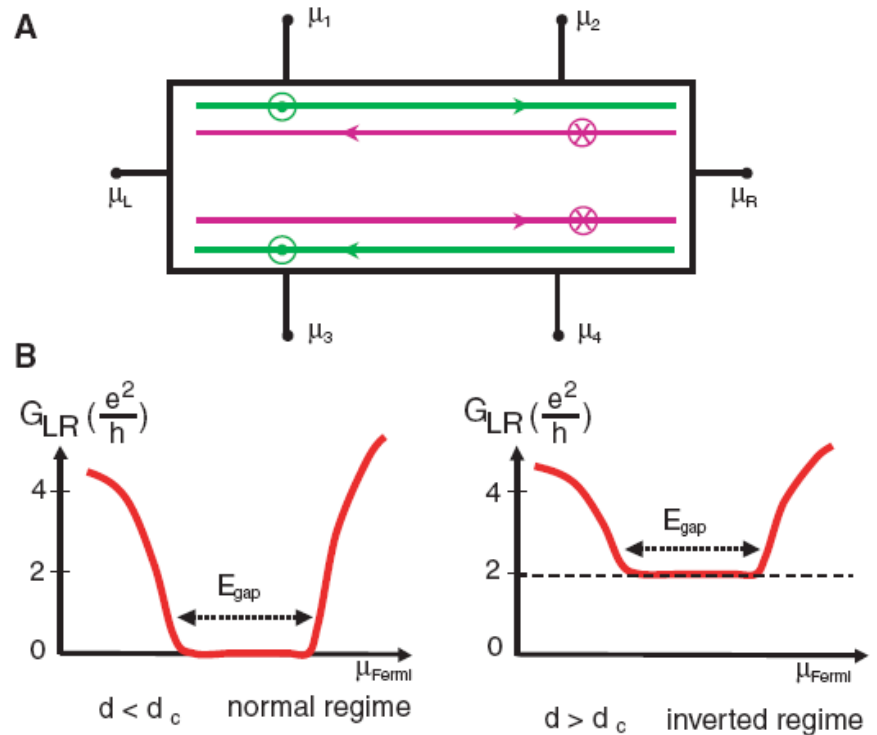
Surface States

# 1. Introduction: QHE vs QSHE



QHE

TKNN or Chern number



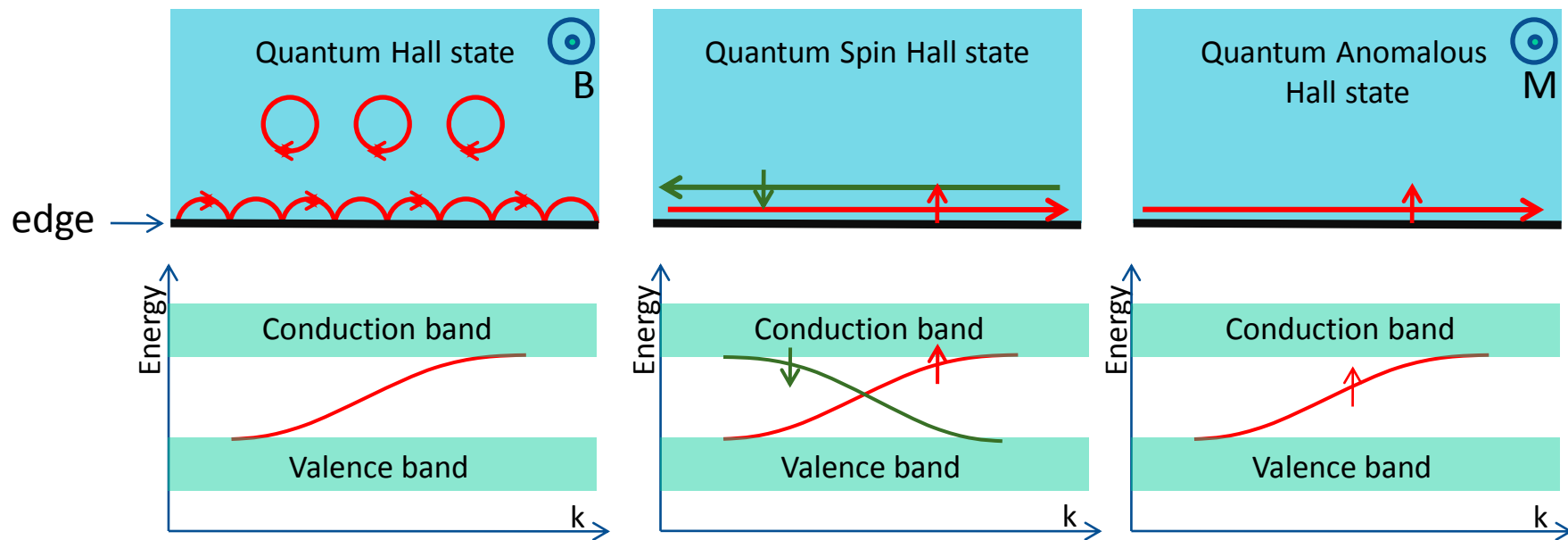
QSHE in HgTe/CdTe

(S. C. Zhang, et.al, Science 2006;  
L. Molenkamp, et.al, Science 2007 )

2D topological Insulators with TRS

# 1. Introduction: Chern insulators?

**Broken TRS: “Quantum Hall” without external field and Landau level.**



1. The QSH state can be viewed as two copies of QAH states.
2. We can destroy the TRS to keep only one of the two copies of QAH states.

1. F. D. M. Haldane, PRL (1988):

Honeycomb lattice with staggered field (bond current).

2. Others:

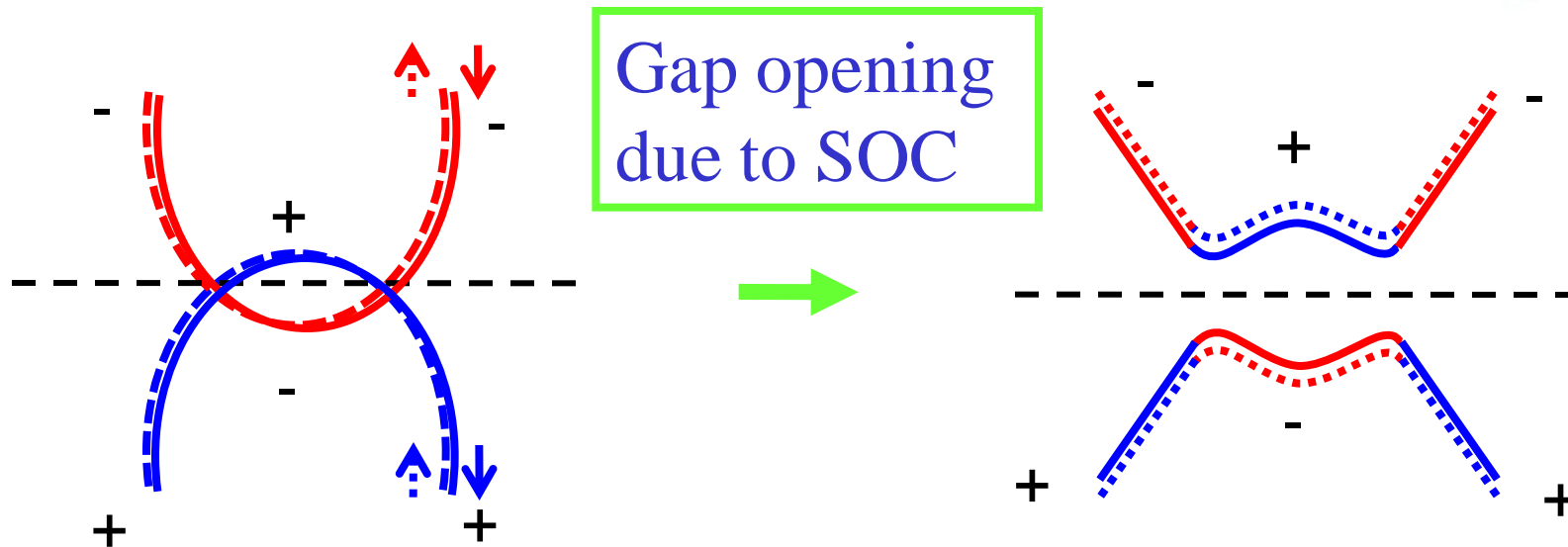
Onoda & Nagaosa (2004); localized and extended states

Yu, Dai & Fang (2010); Magnetic topological insulators

A. MacDonald & Niu (2011); Graphene

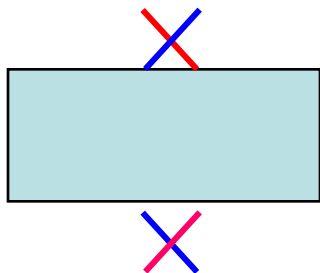
# 1. Introduction: From 2D to 3D?

## 2D Topological Insulators:



**3D:** (1) Weak 3D TIs:

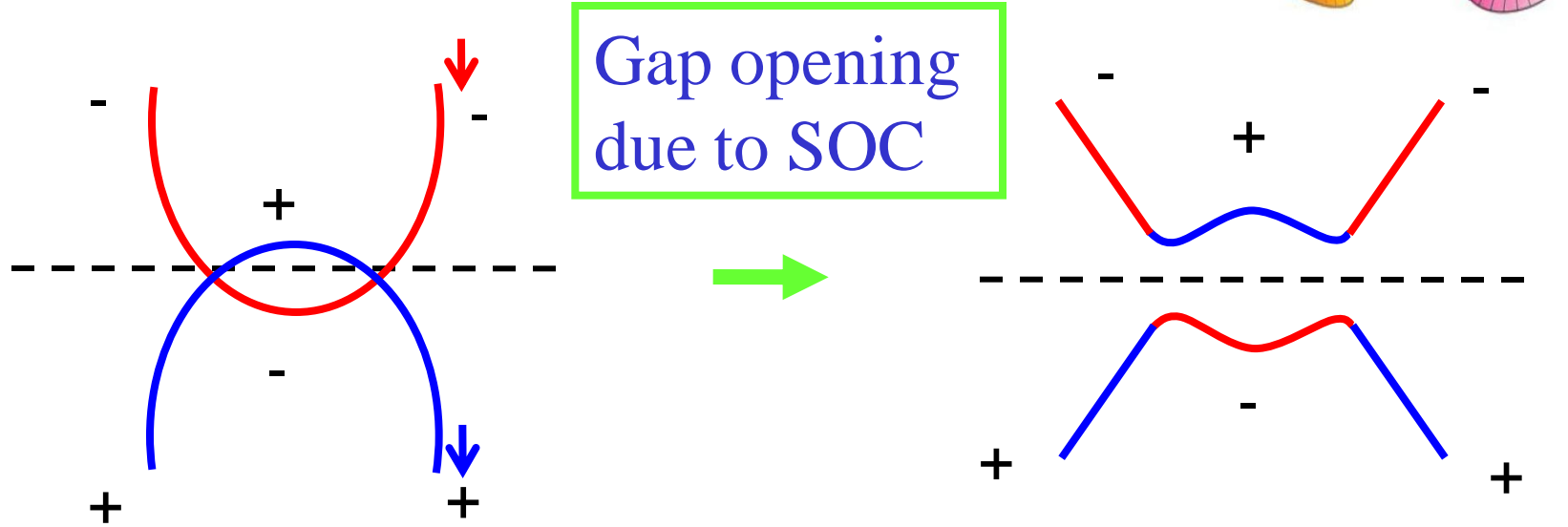
(2) Strong 3D TIs: Time Reversal Polarization in real space!



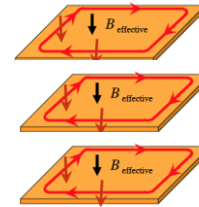
4x4 in the bulk,  
2x2 on the surface  
(2D Weyl nodes)

# 1. Introduction: From 2D to 3D without TRS?

## 2D Chern Insulators:



## 3D: (1) Weak 3D Chern Insulators:

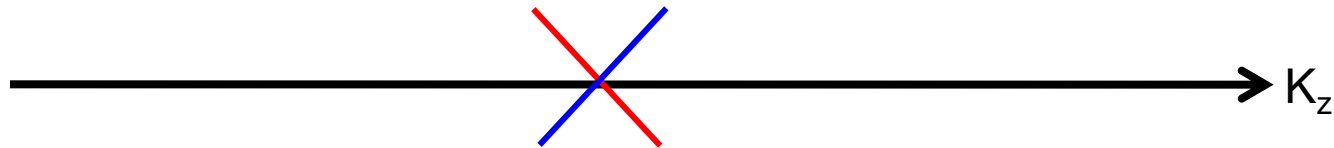
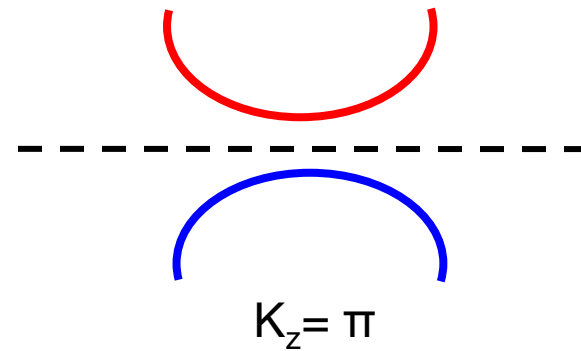
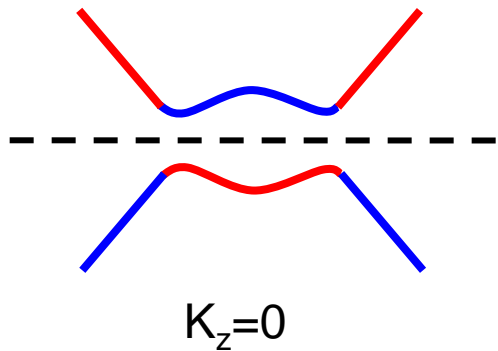
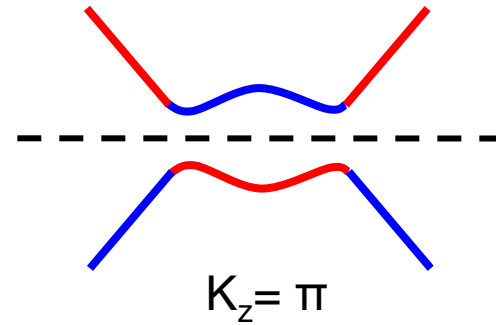
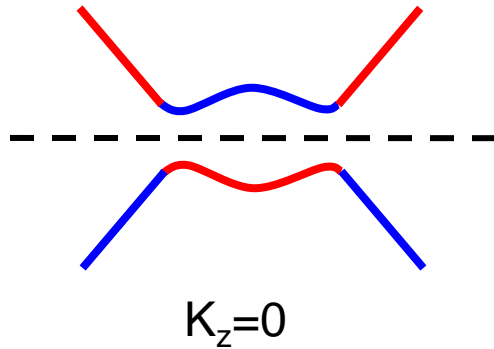


## (2) Any analogy? Chern semi-metal:

**Time Reversal Polarization in momentum space!**

# 1. Introduction: Chern Insulators and semi-metal?

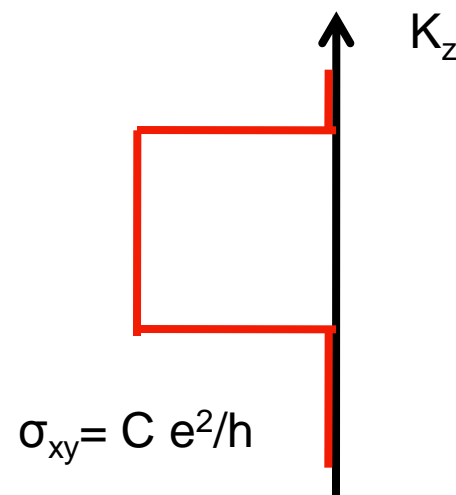
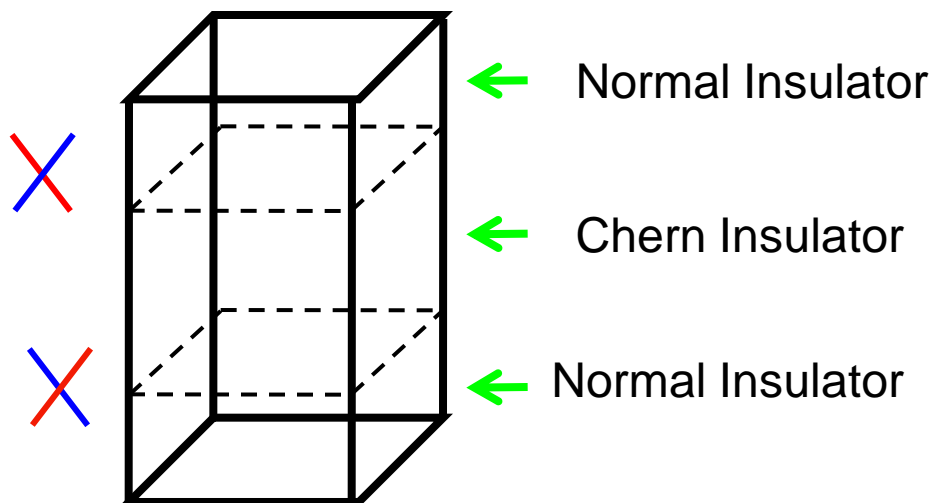
## Weak Chern Insulators:



Topological Phase Transition



# 1. Introduction: Chern semi-metal?



2x2 Hamiltonian in Bulk (not 4x4):

$$H(\vec{k}) = \vec{f}(\vec{k}) \cdot \vec{\sigma} = \begin{bmatrix} f_z & f_x - if_y \\ f_x + if_y & -f_z \end{bmatrix}$$

$$\varepsilon_{\pm} = \pm |\vec{f}(\vec{k})|$$

Weyl nodes at:  $|\vec{f}| = 0$

Berry's connection:  $\vec{A}(\vec{k}) = -i \langle u_{\vec{k}} | \nabla_{\vec{k}} | u_{\vec{k}} \rangle$

Berry's connection:  $\vec{\Omega}(\vec{k}) = \nabla_{\vec{k}} \times \vec{A}$

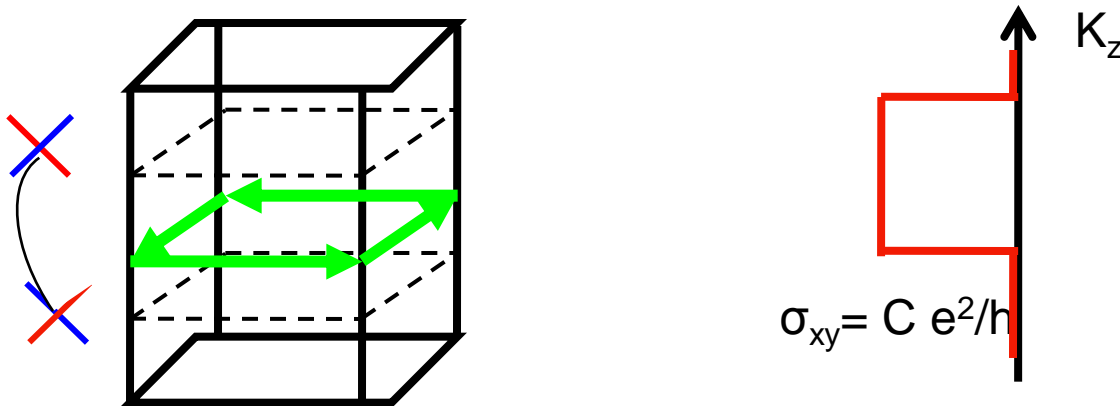
# 1. Introduction: Chern semi-metal?

(1) It is topologically unavoidable. (not accidental)

(2) Time-reversal polarization & Magnetic Monopoles in the K-space.

$$\vec{\Omega} = \pm \frac{\vec{f}}{|\vec{f}|^3} \quad \text{around} \quad |\vec{f}|=0 \quad (\text{See, Z. Fang, Science (2003)})$$

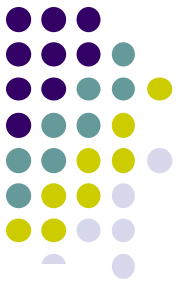
(3) Fermi arcs on the side surface.



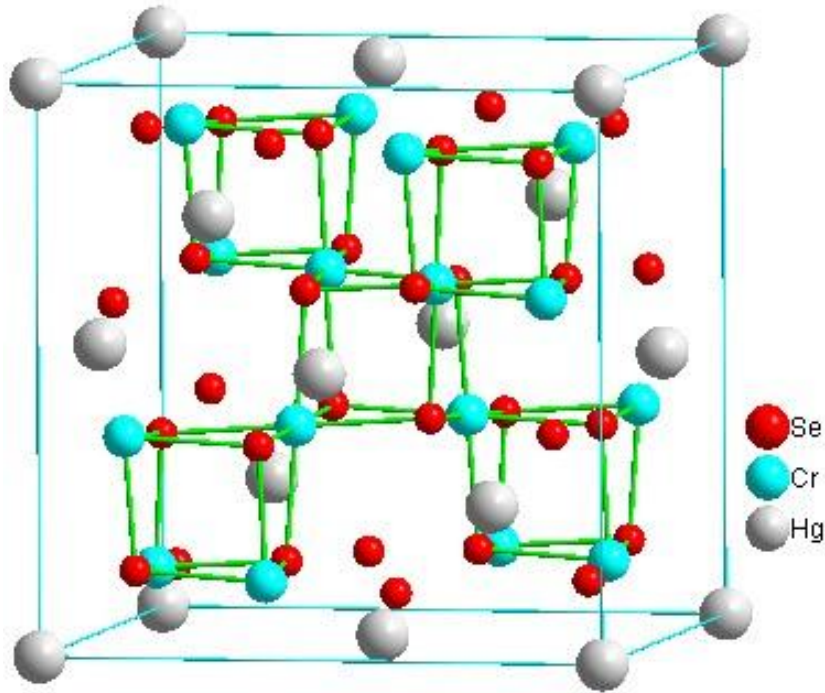
(See, X. G. Wan & Savaraso, PRB (2011), on AF Pyrochlore iridates)

(4) QAHE in quantum well structure.

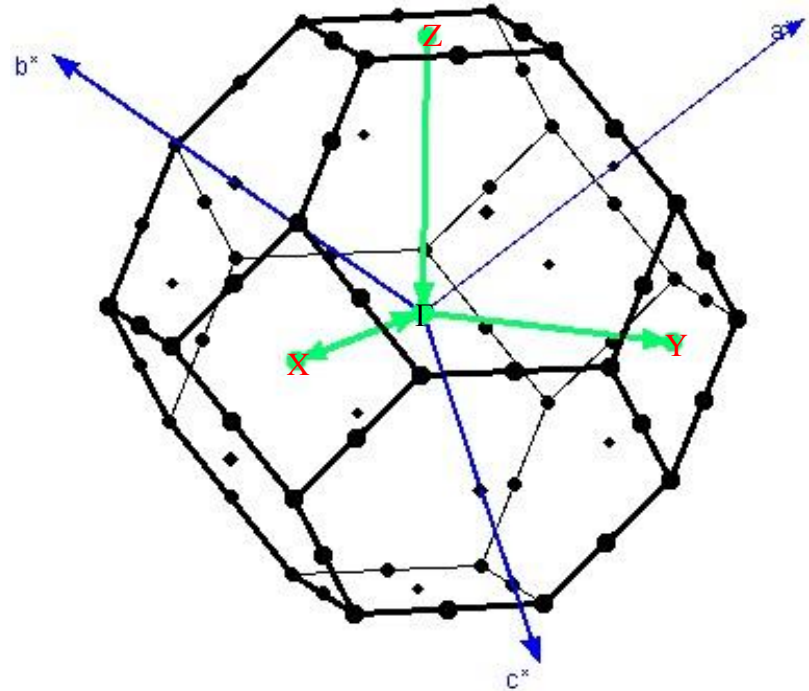
# Crystal structure of $\text{HgCr}_2\text{Se}_4$



## Crystal structure



## BZ



HgX sublattice is zinc-blende

Two HgX sublattices are connected by Inversion, like Diamond.

Space group  $Fd\bar{3}m$  (point group  $O_h$ ).

Each Cr atom is octahedrally coordinated by 6 nearest Se atoms.

# HgCr<sub>2</sub>Se<sub>4</sub>

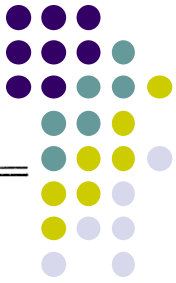
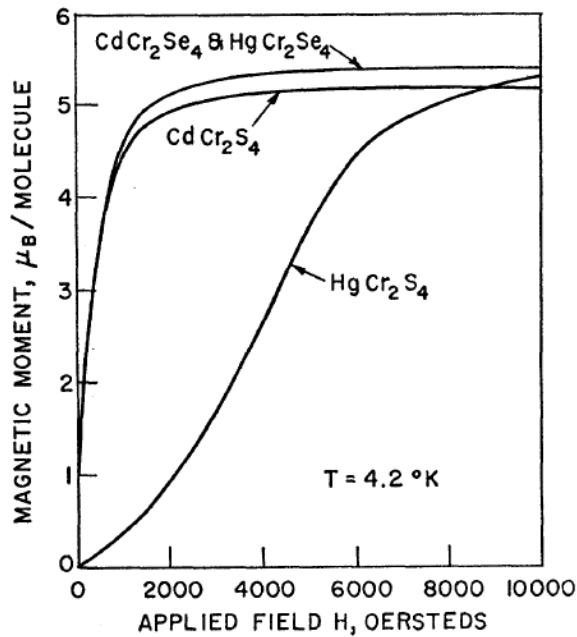


TABLE II. Magnetic and crystallographic properties of ferromagnetic spinels.

Composition	Lattice parameter Å	<i>u</i> parameter	Magnetic moment (4.2°K) μ <sub>B</sub> /molecule	Curie temp. <i>T<sub>c</sub></i> , °K	Curie-Weiss <i>θ</i> , °K	Curie constant <i>C<sub>M</sub></i>	<i>θ</i> — <i>T<sub>c</sub></i>
CdCr <sub>2</sub> S <sub>4</sub>	10.244	0.390	5.15	84.5	152	3.70	1.80
CdCr <sub>2</sub> Se <sub>4</sub>	10.755	0.390	5.62	129.5	204	3.82	1.57
HgCr <sub>2</sub> S <sub>4</sub>	10.237	0.390	5.35	36.0	142	3.62	3.94
HgCr <sub>2</sub> Se <sub>4</sub>	10.753	0.390	5.64	106	200	3.79	1.89



MAGNETIZATION CURVES AT 4.2°K

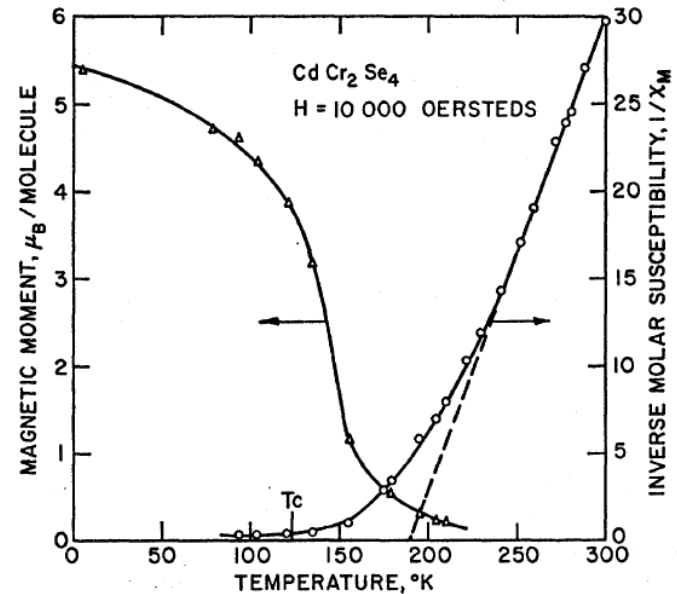
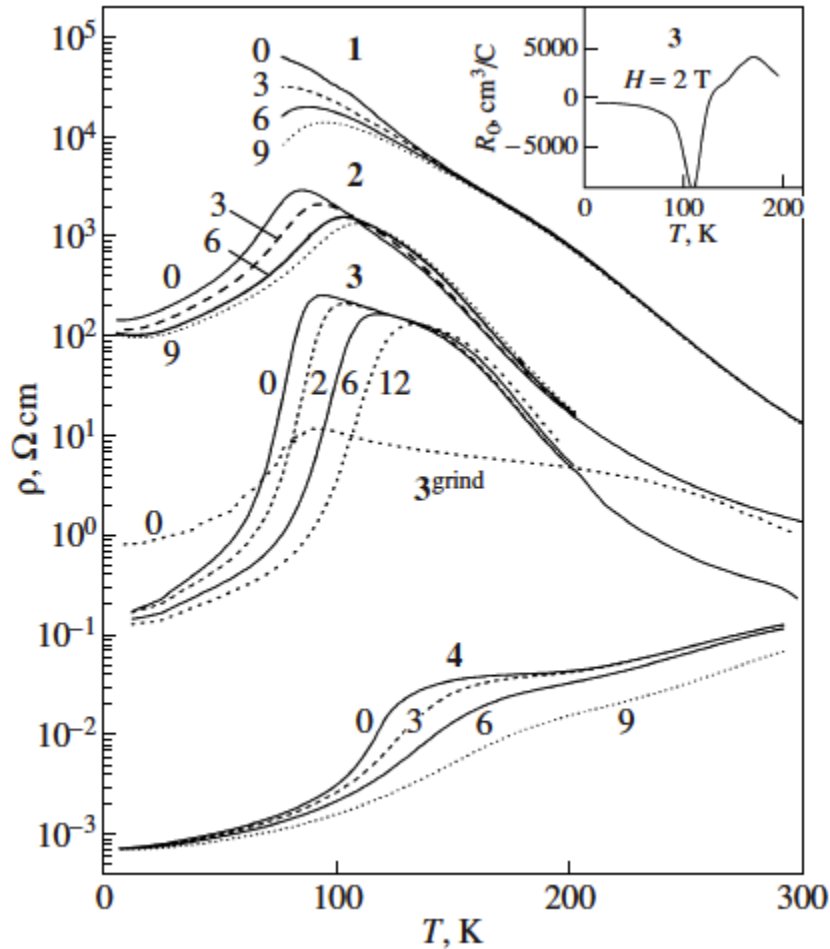
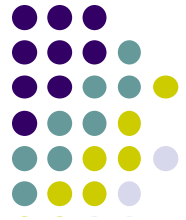


FIG. 3. Magnetic moment and inverse susceptibility as a function of temperature for CdCr<sub>2</sub>Se<sub>4</sub> in an applied field of 10 000 Oe.

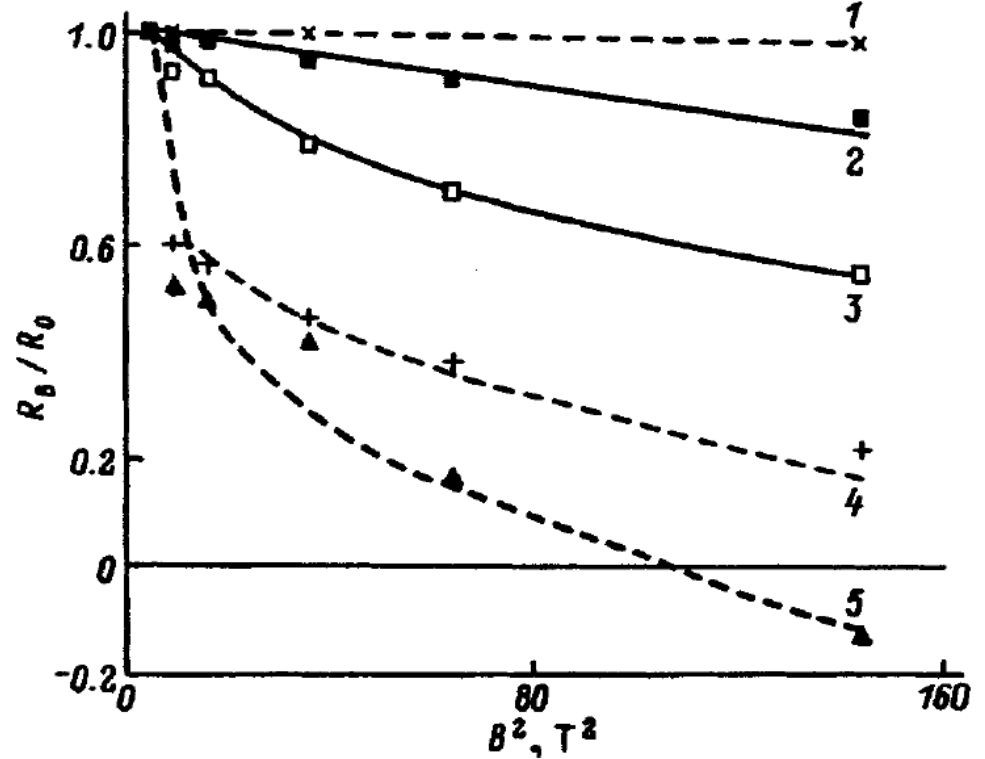
P. K. Baltzer, et.al, PRB (1966)

# HgCr<sub>2</sub>Se<sub>4</sub>



Metallic

N. I. Solin, et.al, PRB (2008)

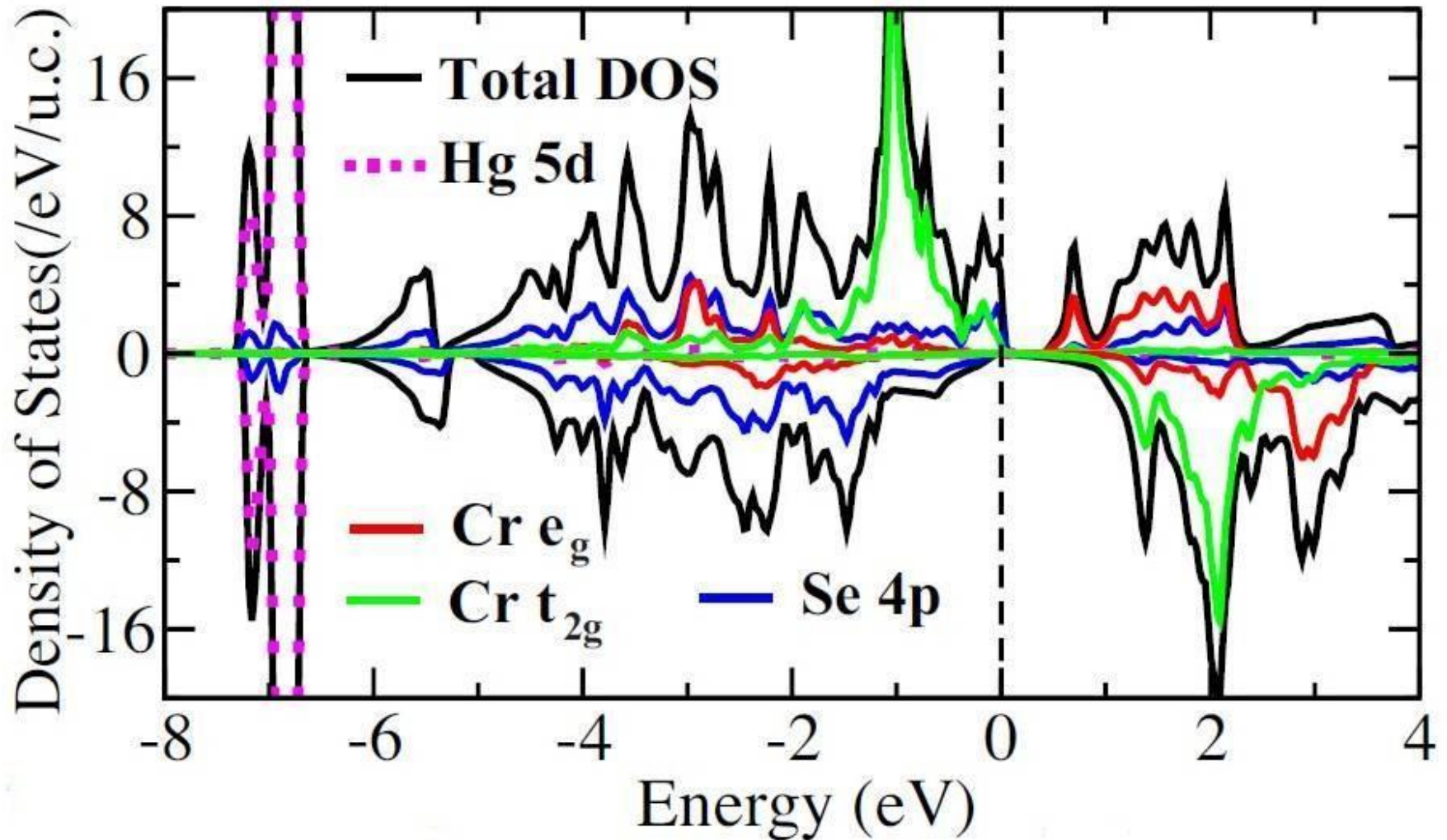
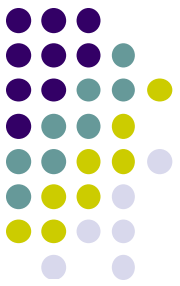


AHE

N. I. Solin, et.al,  
Phys. Solid State (1996)

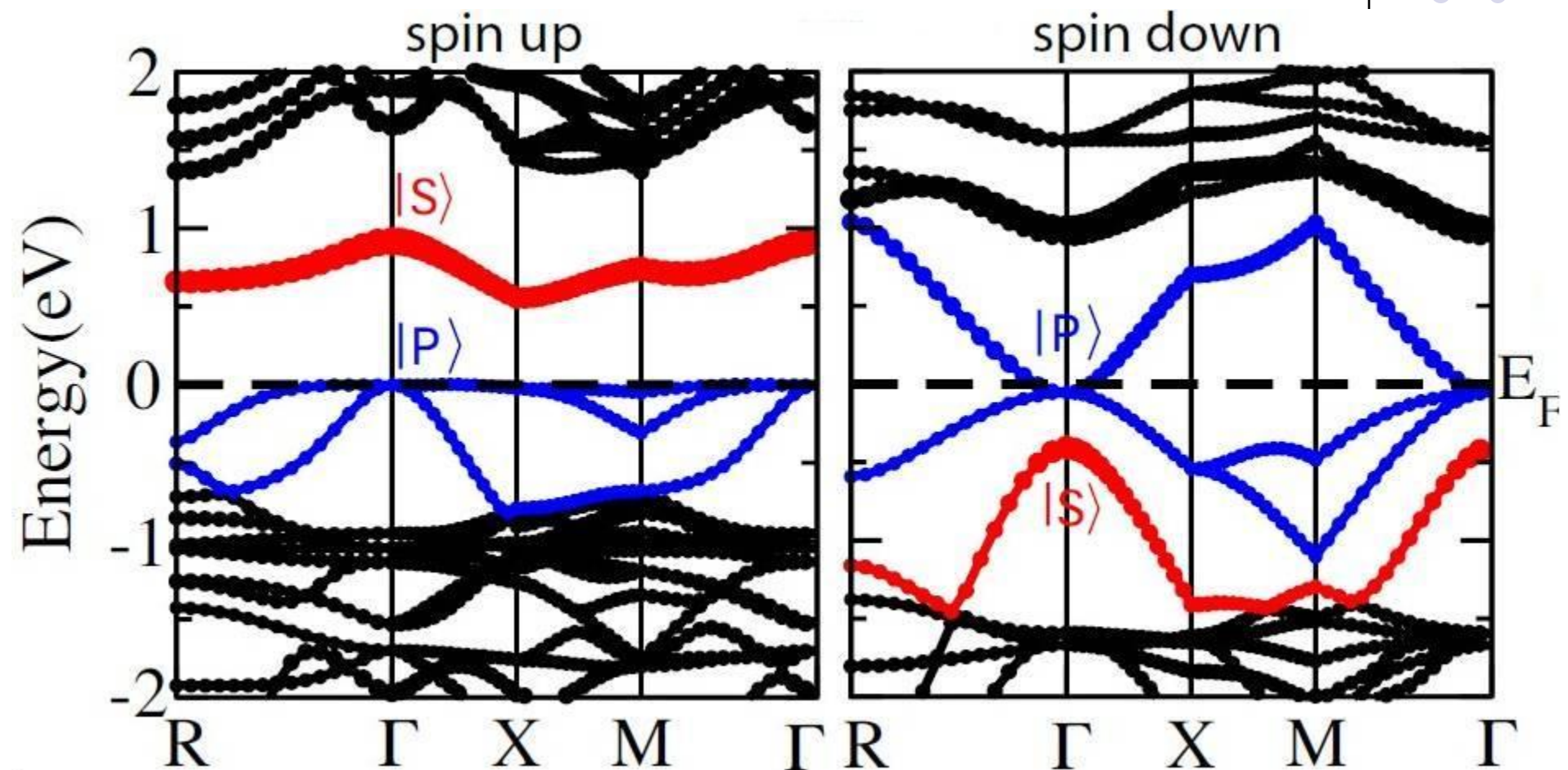
# Electronic structure without SOC

## DOS

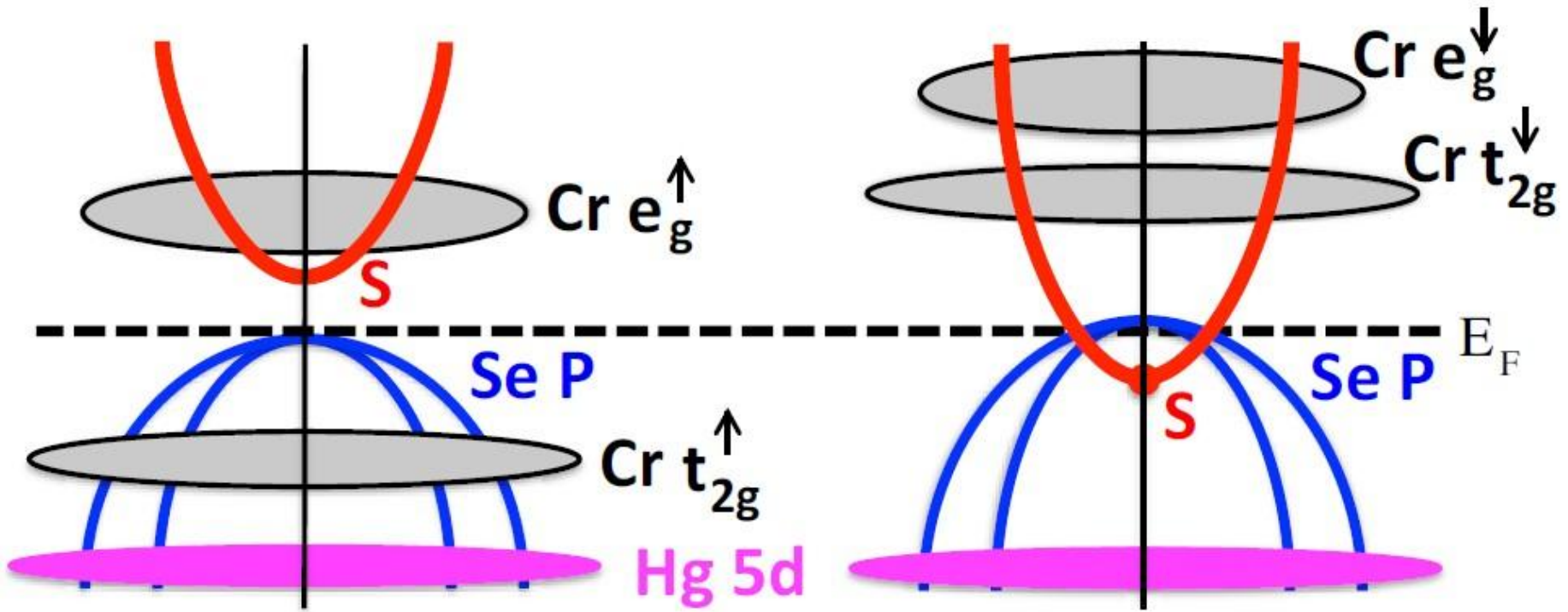


# Electronic structure without SOC

Band



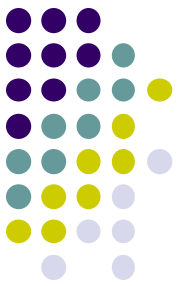
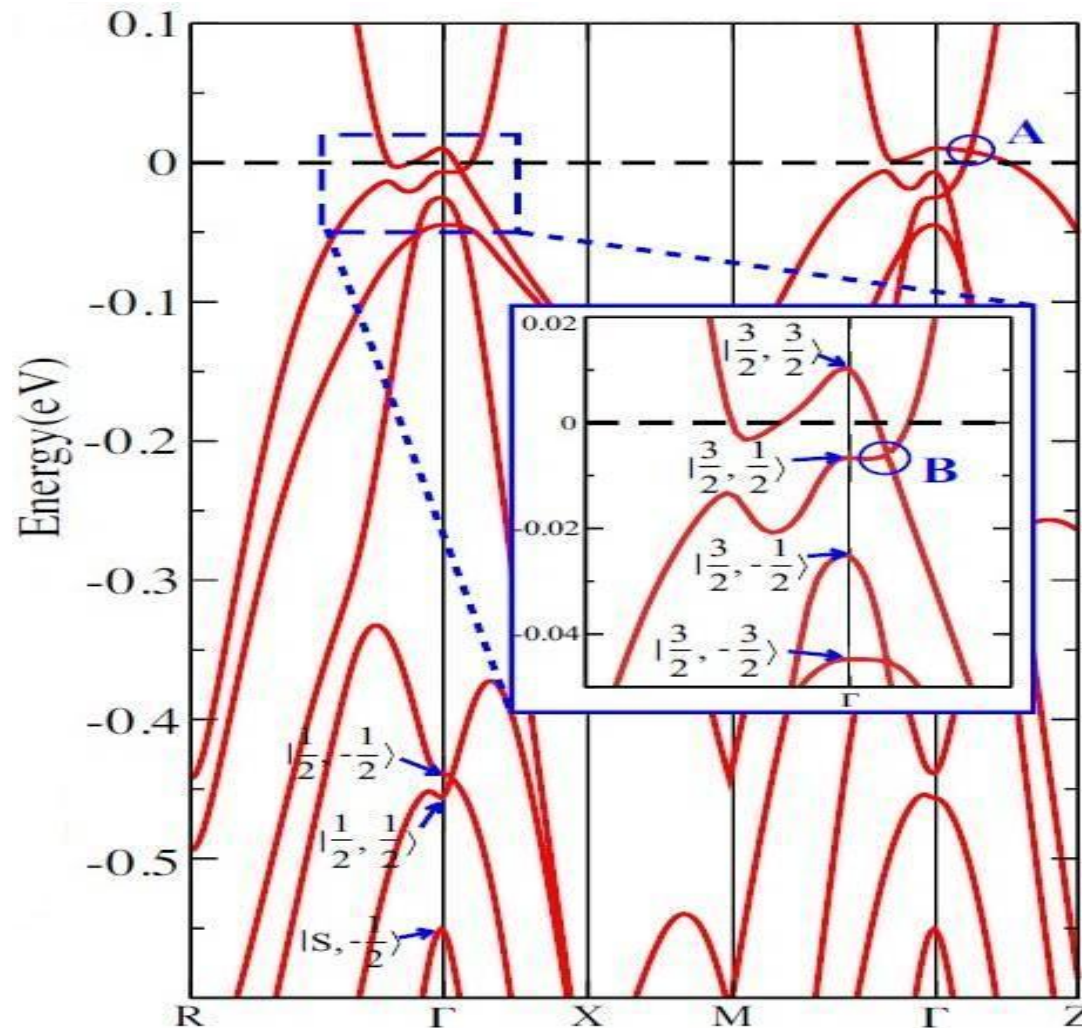
# Schematic diagram for the band-inversion



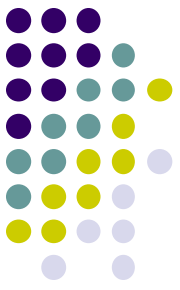


# Electronic structure with SOC

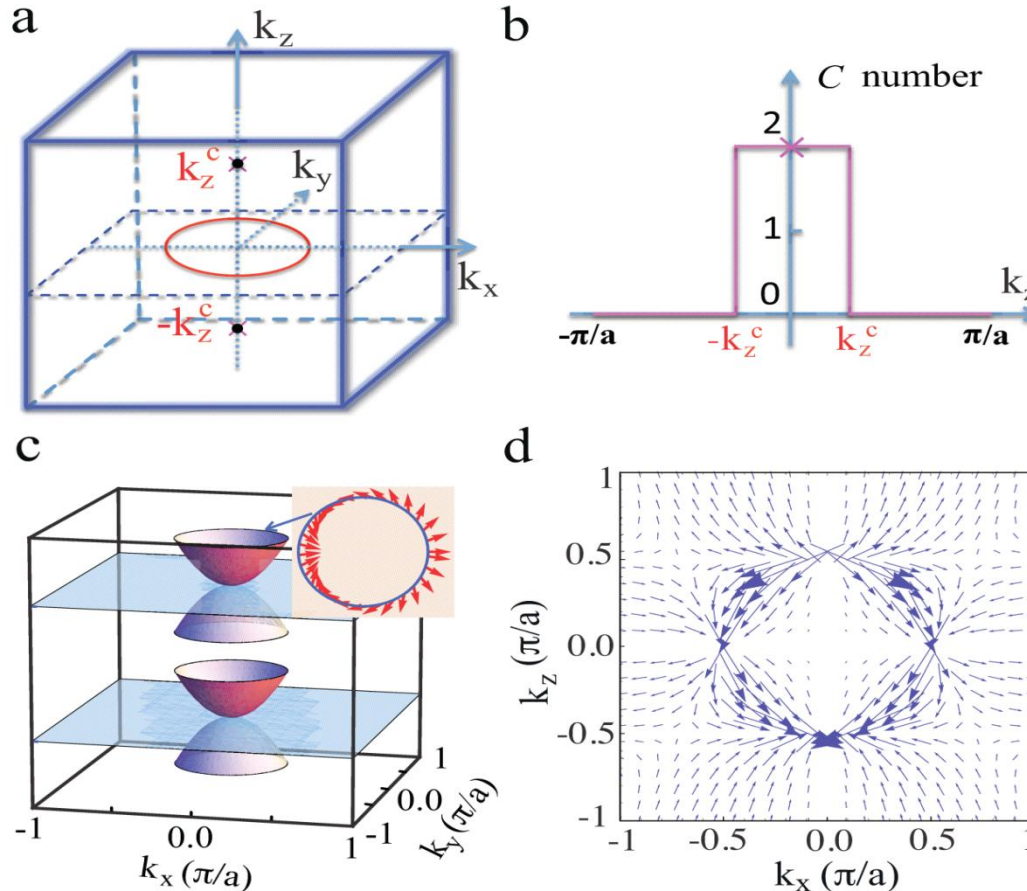
## low energy band with SOC



# Weyl fermions and magnetic monopoles

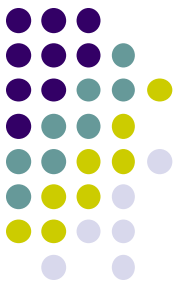


Due to the presence of  $k_{\pm}$  in the off-diagonal element, it is easy to check that Chern number  $C$  equals to 2 for the planes with  $-k_z^c < k_z < k_z^c$  and  $k_z \neq 0$



The in-plane band dispersions near the Weyl nodes  $k_z = \pm k_z^c$  are thus quadratic rather than linear, with a phase of  $4\pi$  for the chiral spin texture. The two Weyl nodes form a single pair of magnetic monopoles carrying gauge flux in  $k$ -space.

# 8-band model for HgCr<sub>2</sub>Se<sub>4</sub>



## Basis

$$\begin{aligned} |S, \frac{1}{2}\rangle &= |S\rangle|\uparrow\rangle \\ |S, -\frac{1}{2}\rangle &= |S\rangle|\downarrow\rangle \\ |\frac{3}{2}, \frac{3}{2}\rangle &= -\frac{1}{\sqrt{2}}|P_x + iP_y\rangle|\uparrow\rangle \\ |\frac{3}{2}, \frac{1}{2}\rangle &= \frac{1}{\sqrt{6}}(|2P_z\rangle|\uparrow\rangle - |P_x + iP_y\rangle|\downarrow\rangle) \\ |\frac{3}{2}, -\frac{1}{2}\rangle &= \frac{1}{\sqrt{6}}(|2P_z\rangle|\downarrow\rangle + |P_x - iP_y\rangle|\uparrow\rangle) \\ |\frac{3}{2}, -\frac{3}{2}\rangle &= \frac{1}{\sqrt{2}}|P_x - iP_y\rangle|\downarrow\rangle \\ |\frac{1}{2}, \frac{1}{2}\rangle &= -\frac{1}{\sqrt{3}}(|P_z\rangle|\uparrow\rangle + |P_x + iP_y\rangle|\downarrow\rangle) \\ |\frac{1}{2}, -\frac{1}{2}\rangle &= -\frac{1}{\sqrt{3}}(-|P_z\rangle|\downarrow\rangle + |P_x - iP_y\rangle|\uparrow\rangle) \end{aligned}$$

Here,  $|P_\alpha\rangle \approx \frac{1}{\sqrt{8}} \sum_{i=1}^8 |p_\alpha^i\rangle$ , and  $|S\rangle \approx 0.4 \sum_{j=1}^2 |s^j\rangle + 0.24 \sum_{k=1}^4 |d_{t_{2g}}^k\rangle$ , where  $\alpha = x, y, z$ , and  $i, j, k$  runs over Se, Hg, Cr atoms in the unit cell respectively. The  $|s\rangle$ ,  $|p_{\alpha=x,y,z}\rangle$ ,  $|d_{t_{2g}=xy,yz,zx}\rangle$  are corresponding atomic orbitals of each atom.

# 8-band model for HgCr2Se4

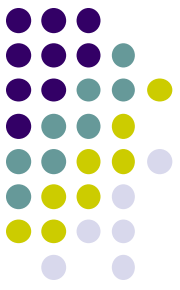


## Kane model without magnetic splitting

$$\begin{bmatrix} E_s & 0 & \frac{-Rk_+}{\sqrt{2}} & \frac{\sqrt{2}Rk_z}{\sqrt{3}} & \frac{Rk_-}{\sqrt{6}} & 0 & \frac{-Rk_z}{\sqrt{3}} & \frac{-Rk_-}{\sqrt{3}} \\ 0 & E_s & 0 & \frac{-Rk_+}{\sqrt{6}} & \frac{\sqrt{2}k_z R}{\sqrt{3}} & \frac{Rk_-}{\sqrt{2}} & \frac{-k_+ R}{\sqrt{3}} & \frac{Rk_z}{\sqrt{3}} \\ \frac{-Rk_-}{\sqrt{2}} & 0 & E_p & 0 & 0 & 0 & 0 & 0 \\ \frac{\sqrt{2}Rk_z}{\sqrt{3}} & \frac{-Rk_-}{\sqrt{6}} & 0 & E_p & 0 & 0 & 0 & 0 \\ \frac{Rk_+}{\sqrt{6}} & \frac{\sqrt{2}Rk_z}{\sqrt{3}} & 0 & 0 & E_p & 0 & 0 & 0 \\ 0 & \frac{Rk_+}{\sqrt{2}} & 0 & 0 & 0 & E_p & 0 & 0 \\ \frac{-Rk_z}{\sqrt{3}} & \frac{-Rk_-}{\sqrt{3}} & 0 & 0 & 0 & 0 & E_p - \Delta & 0 \\ \frac{-Rk_+}{\sqrt{3}} & \frac{Rk_z}{\sqrt{3}} & 0 & 0 & 0 & 0 & 0 & E_p - \Delta \end{bmatrix}$$

where  $E_s = \frac{\hbar^2 k^2}{2m_s} + E_0$  and  $E_p = \frac{\hbar^2 k^2}{2m_p}$  with  $m_s$  and  $m_p$  being effective mass of conduction and valence bands respectively.  $\Delta$  is the spin-orbit coupling energy, and  $R = -\frac{i\hbar}{m_0} \langle S | \hat{p} | P \rangle$  is the momentum matrix element between conduction and valence bands.

# 8-band model for HgCr<sub>2</sub>Se<sub>4</sub>



## Magnetic splitting term along (001) direction

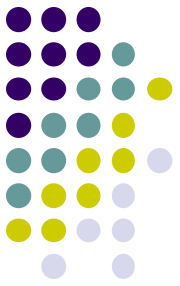
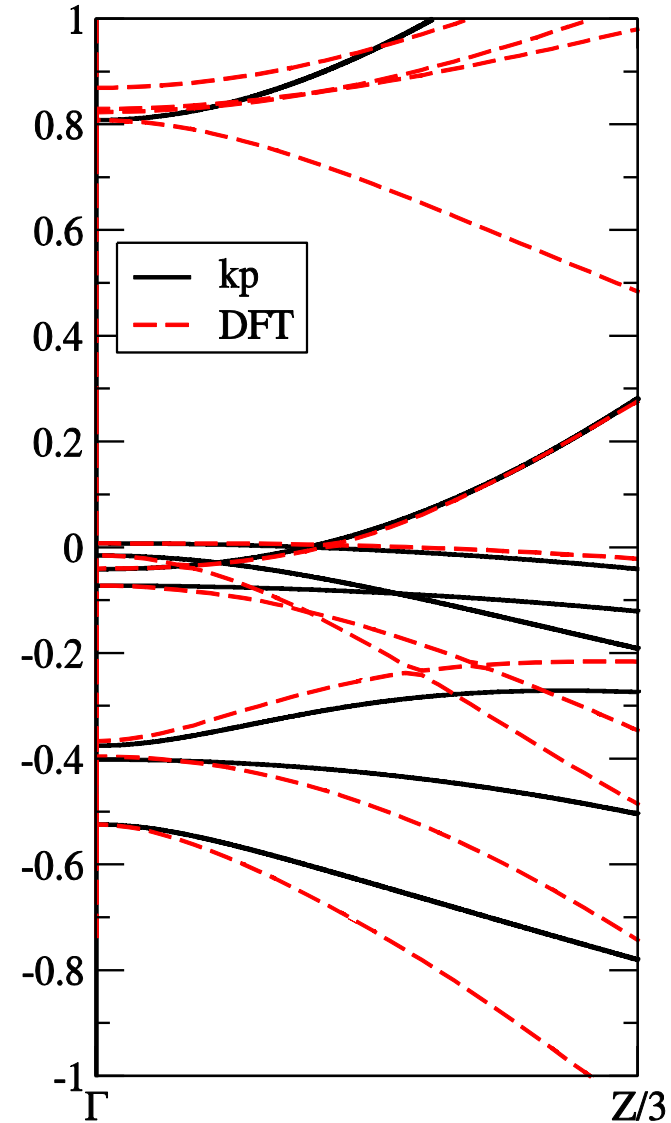
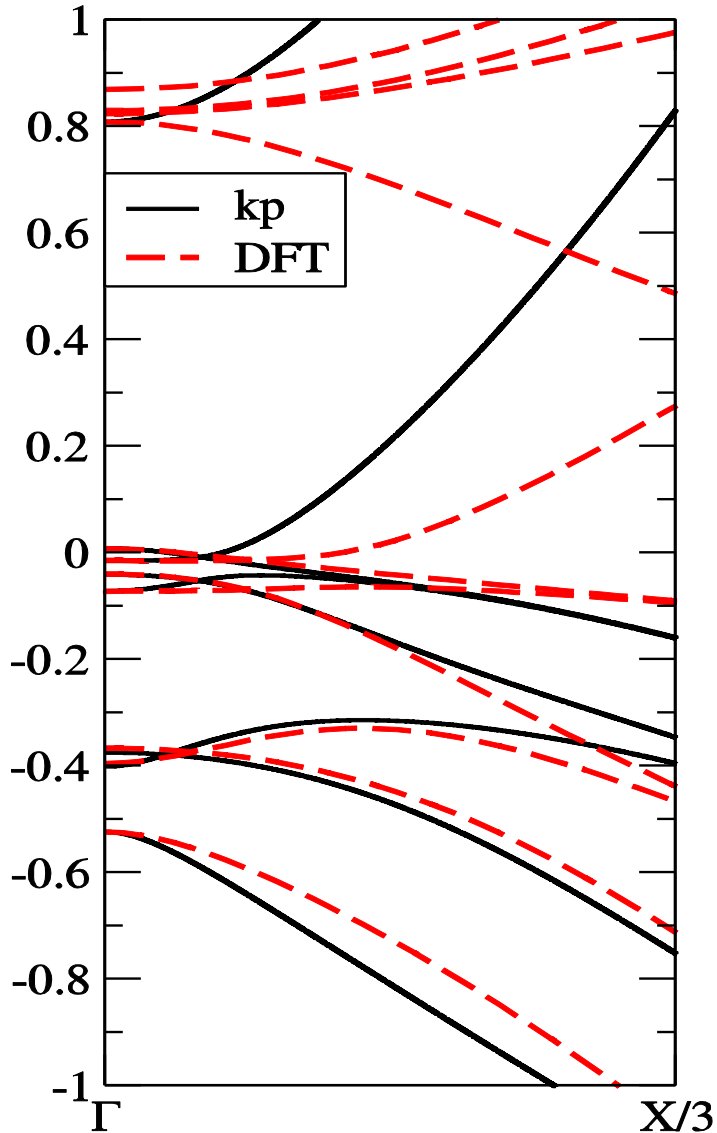
$$\begin{bmatrix} h_s & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -h_s & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & h_p & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{h_p}{3} & 0 & 0 & -\frac{\sqrt{8}h_p}{3} & 0 \\ 0 & 0 & 0 & 0 & -\frac{h_p}{3} & 0 & 0 & \frac{-\sqrt{8}h_p}{3} \\ 0 & 0 & 0 & 0 & 0 & -h_p & 0 & 0 \\ 0 & 0 & 0 & -\frac{\sqrt{8}h_p}{3} & 0 & 0 & -\frac{h_p}{3} & 0 \\ 0 & 0 & 0 & 0 & -\frac{\sqrt{8}h_p}{3} & 0 & 0 & \frac{h_p}{3} \end{bmatrix}$$

where  $h_s$  and  $h_p$  are exchange splitting energies for the electron and valence bands respectively. By fitting from our first-principles calculations, all parameters are given as:

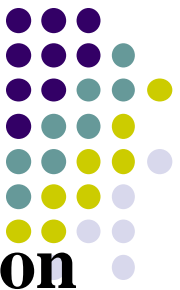
$$E_0 = 0.174 \text{ eV}, \Delta = 0.352 \text{ eV}, h_s = 0.666 \text{ eV}, h_p = 0.040 \text{ eV}, R = 2.592 \text{ eV \AA}, \frac{\hbar^2}{m_s} = 14.049 \text{ eV \AA}^2, \frac{\hbar^2}{m_p} = -2.569 \text{ eV \AA}^2$$

# 8-band model for HgCr<sub>2</sub>Se<sub>4</sub>

## Data comparing



# 8-band model for HgCr<sub>2</sub>Se<sub>4</sub>



## 2-band effective model

Two basis:  $|3/2, 3/2\rangle$ ,  $|S, -1/2\rangle$  with band-inversion

$$H_{eff} = \begin{bmatrix} M & Dk_z k_-^2 \\ Dk_z k_+^2 & -M \end{bmatrix}$$

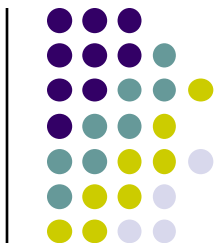
Here  $k_{\pm} = k_x \pm ik_y$ , and  $M = M_0 - \beta k^2$  is the mass term expanded to the second order, with parameters  $M_0 > 0$  and  $\beta > 0$  to ensure band inversion.

$$E(\mathbf{k}) = \pm \sqrt{M^2 + D^2 k_z^2 (k_x^2 + k_y^2)} \quad \text{two gapless solutions:}$$

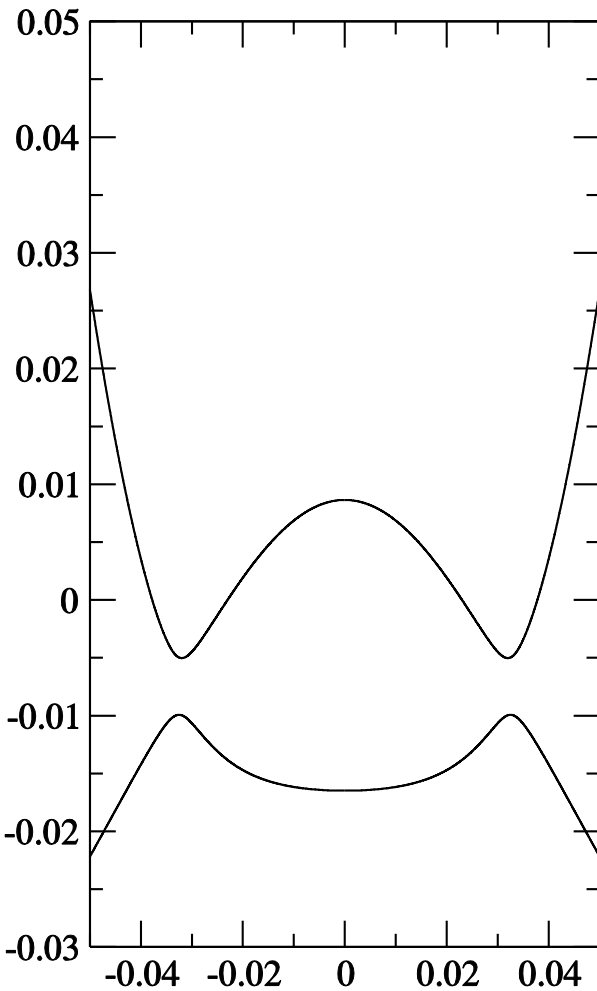
$$k_z = \pm k_z^c = \pm \sqrt{M_0 / \beta}$$

$$k_x^2 + k_y^2 = M_0 / \beta$$

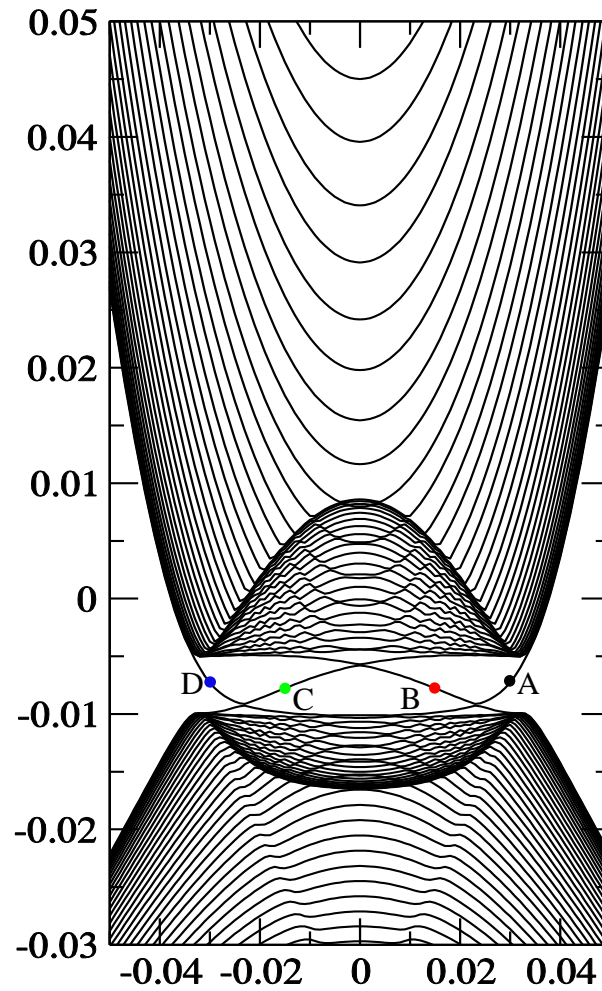
# Edge states and fermi arcs on surface



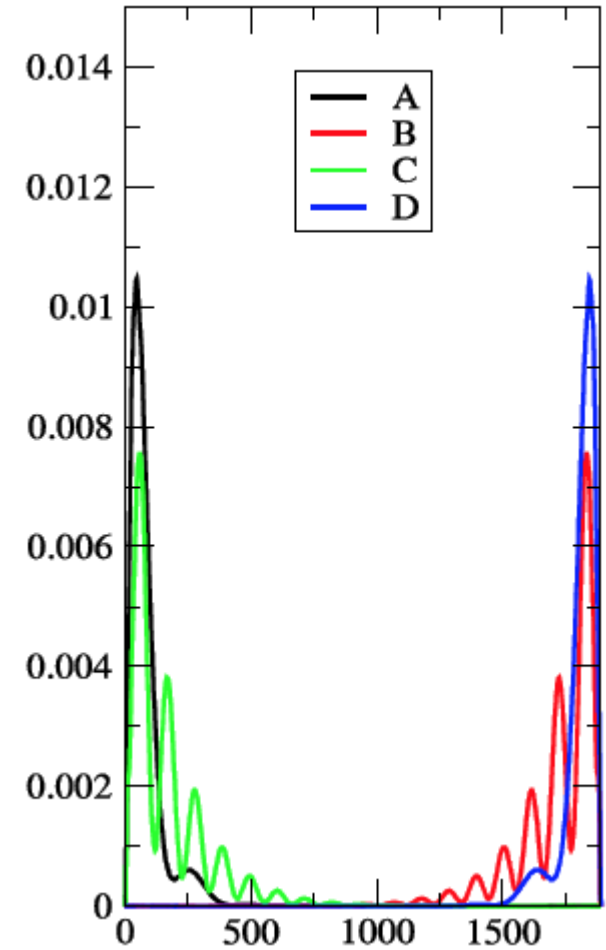
## Edge state in $k_z=0.06\pi$ plane



**Band of bulk**



**Edge state**



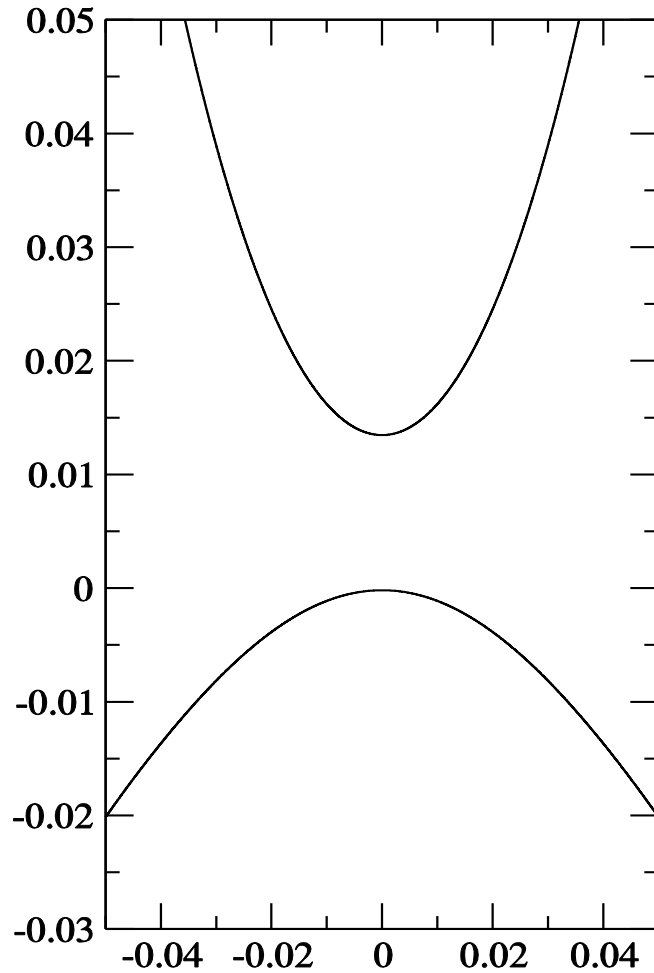
**Distribution along x**



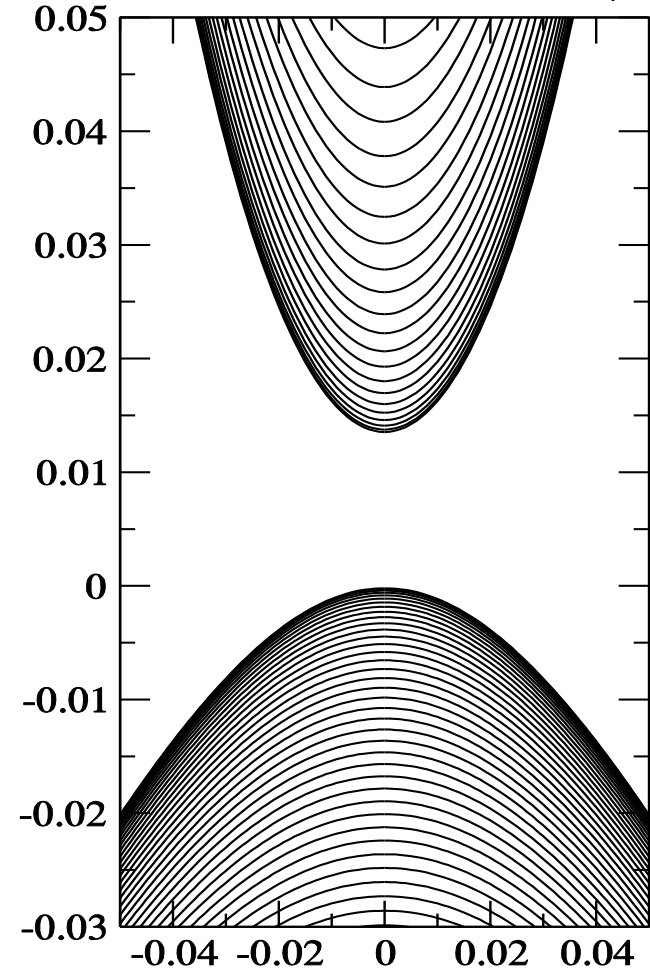
# Edge states and fermi arcs on surface



## Edge state in $k_z=0.29\pi$ plane

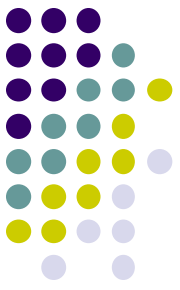


**Band of bulk**

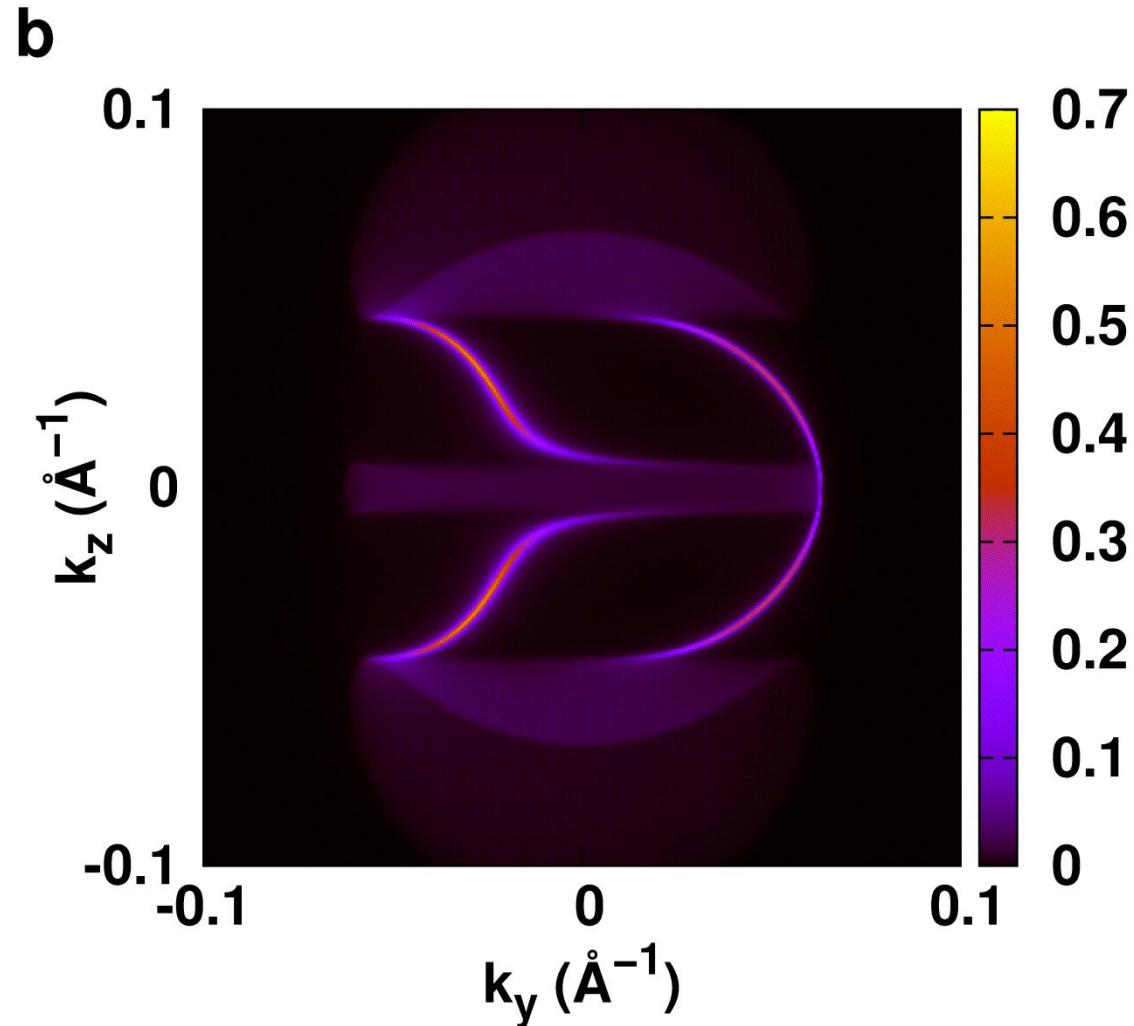


**Edge state**

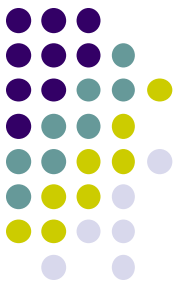
# Edge states and fermi arcs on surface



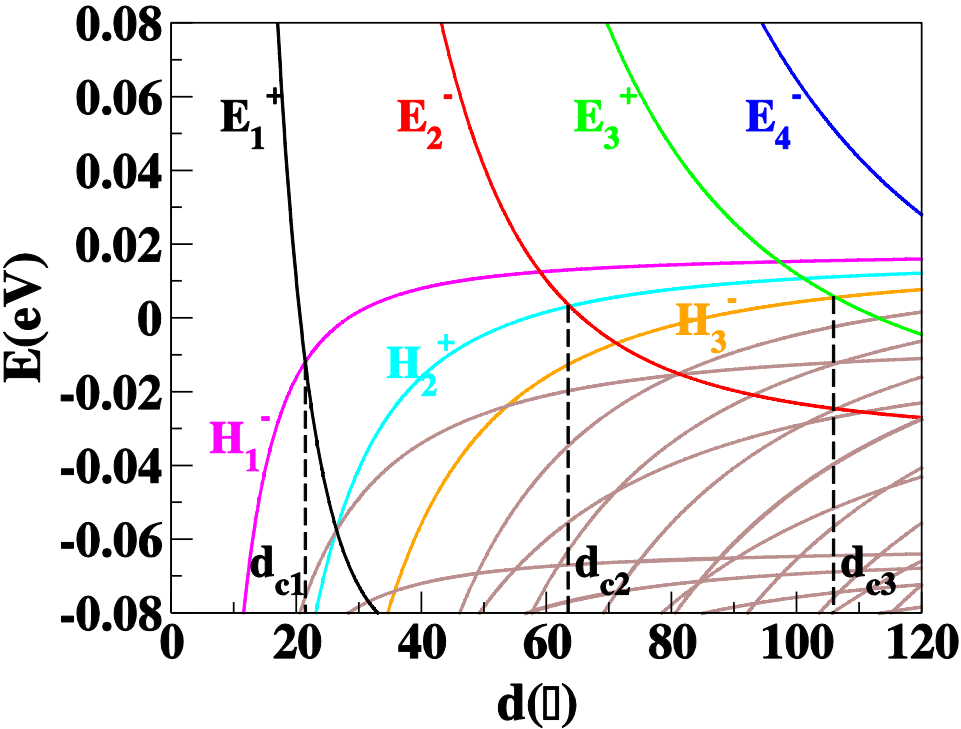
## Fermi arcs for the ( $k_y$ , $k_z$ ) side surface



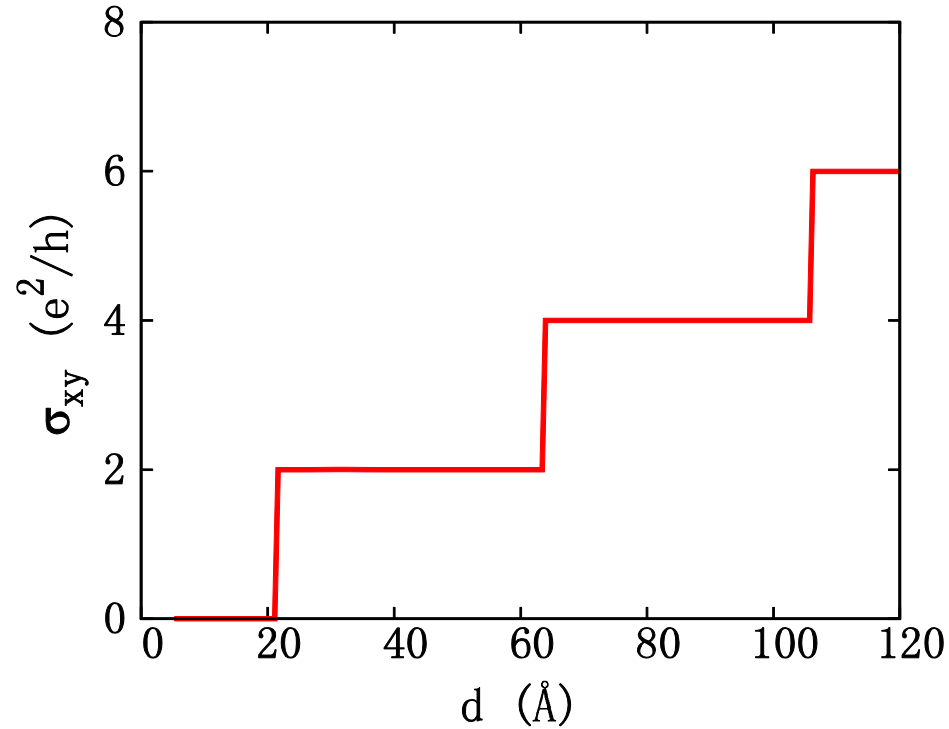
# QAHE in the quantum well structure



If we consider the open boundary condition along  $z$  direction, and replace  $k_z$  by  $-i\hbar\partial_z$ , we can evaluate the Hall conductance in the quantum well structure.



Energy gap at  $\Gamma$  vs.  $d$



Hall conductance vs.  $d$

# Conclusions

---

- ✓ 1.  $\text{HgCr}_2\text{Se}_4$  is a topological Chern semi-metal with a single pair of magnetic monopoles in the bulk.
- ✓ 2. Its Chern number shows  $k_z$  dependence from 2 to 0.
- ✓ 3. There are two fermi arcs located on each surface side, which are protected by topology.
- ✓ 4. In its quantum well structure, one can find the long-pursuing quantized anomalous Hall effect (QAHE), i.e., the quantized Hall effect without external magnetic field.

**arXiv: 1106.3125 (2011)**



*Thank you!*